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TRANSMITTAL FORM

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Total Number of Pages in This Submission

13

Application Number

09/994,009

Filing Date

November 5, 2001

First Named Inventor

Fereidoon Heydari

Art Unit

2627

Examiner Name

Glenda P. Rodriguez

Attorney Docket Number

01-S-023 (1678-039-01)

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Reply to Missing Parts/
Incomplete Application

☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Landscape Table on CD

☐ After Allowance Communication to TC

☐ Appeal Communication to Board
of Appeals and Interferences

☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

PTO Form SB/08a and 8b

Copy of non-patent literature document

Return receipt postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

Graybeal Jackson Haley, LLP

Signature

Printed Name

J. Mark Han

Date

July 2, 2007

Reg.
No.

57,898

CERTIFICATE OF TRANSMISSION/MAILING

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Signature

Typed or printed name

Cindy Hawk

Date

July 2, 2007

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Fereidoon Heydari

Serial No.: 09/994,009

Filing Date: November 5, 2001

Title: **A DATA CODE AND METHOD FOR CODING DATA**

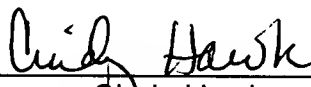
Attorney Docket No.: 01-S-023 (1678-039-01)

CERTIFICATE OF MAILING

I hereby certify that this communication, and any document being attached hereto, are being deposited with the U.S. Postal Service with sufficient postage as First Class Mail addressed to:

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on this 2nd day of July, 2007.


Cindy Hawk

**RULE 56 INFORMATION DISCLOSURE AND
ACCOMPANYING SECTION 1.97(e) CERTIFICATION**

TO COMMISSIONER FOR PATENTS:

In compliance with 37 CFR § 1.56 and § 1.97, Applicants, acting by and through the attorney of record, hereby disclose patents and other documents listed on the attached Form PTO/SB/08a and 08b which may be considered relevant to the examination of the subject application.

A copy of the non-patent literature document is enclosed. Copies of U.S. patent and patent application documents cited in the attached form PTO SB-8a are not attached. However, the noted patents are U.S counterparts to foreign references cited by the European Search Report, i.e. EP 1 274 071; EP 1 271 478; EP1 271 506; and EP 1 271 507. It is submitted that the foreign references are merely cumulative of the U.S. citations. Nevertheless, applicants wish to disclose these relationships as part of their duty of candor.

Certification Under Section 1.97(e)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this statement.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

A handwritten signature in black ink, appearing to read "J. Mark Han", followed by a long horizontal flourish.

J. Mark Han
Attorney for Applicant
Registration No. 57,898
155 - 108th Ave. NE, Suite 350
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(425) 455-5575



PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

| | |
|------------------------|------------------------|
| Application Number | 09/994,009 |
| Filing Date | November 5, 2001 |
| First Named Inventor | Fereidoon Heydari |
| Art Unit | 2627 |
| Examiner Name | Glenda P. Rodriguez |
| Attorney Docket Number | 01-S-023 (1678-039-01) |

U.S. PATENT DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|------------------------|--------------------------|--------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|
| | | Number - Kind Code ² (if known) | | | |
| | A1 | US- 2003/0048562 A1 | 03-13-2003 | Heydari et al. | |
| | | US- 2003/0048560 A1 | 03-13-2003 | Ozdemir | |
| | | US- 2003/0053245 A1 | 03-20-2003 | Ozdemir | |
| | | US- 2003/0002189 A1 | 01-02-2003 | Ozdemir | |
| | | US- 5,375,145 | 12-20-1994 | Abbott et al. | |
| | | US- 5,381,359 | 01-10-1995 | Abbott et al. | |
| | | US- 5,384,871 | 01-24-1995 | Fisher | |
| | | US- 6,233,715 B1 | 05-15-2001 | Kuki et al. | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|------------------------|--------------------------|----------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------|------------------------------------------------------------------------------------|----------------|
| | | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | | |
| | B1 | | | | | |
| | B2 | | | | | |
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Examiner
SignatureDate
Considered

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

| | |
|------------------------|------------------------|
| Application Number | 09/993,986 |
| Filing Date | November 5, 2001 |
| First Named Inventor | Fereidoon Heydari |
| Art Unit | 2627 |
| Examiner Name | Glenda P. Rodriguez |
| Attorney Docket Number | 01-S-045 (1678-047-03) |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| | C1 | Partial European Search Report, from European Application No. EP 02 25 3868, dated April 2, 2007 | N |
| | C2 | | N |
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| Examiner Signature | | Date Considered | |
|-----------------------|--|--------------------|--|

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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